

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 520.30414R52	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT KATO, et al.	
		FILING DATE 2-6-02	GROUP

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Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
Smc	AA 4,836,905	6-6-89	Davis, et al.			
	AB 4,923,584	5-8-90	Bramhall, Jr. et al.			
	AC 4,643,629	2-17-87	Takahashi, et al.			
	AD 5,186,718	2-16-93	Tapman, et al.			
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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
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	AN 1-298180	12-1-89	Japan			ABS	
	AO 2-94647	4-5-90	Japan			ABS	
	AP 64-500072	1-12-89	Japan				X
	AQ 2-61064	3-1-90	Japan				X
	AR 1-310553	12-14-89	Japan			ABS	
	AS 1-251734	10-6-89	Japan			ABS	
	AT 63-153270	6-25-88	Japan			FTR	

## OTHER DOCUMENTS \* (Including Author, Title, Date, Pertinent Pages, Etc.)

Smc	AU	Terminological Dictionary of Semiconductor Equipment, Edited by Semiconductor Equipment Association of Japan, Published by Nikkan Kogyo Shimbun sha Inc. (1987) p. 183 (PTR)
	AV	Semicon News, April 1987 (pp. 38-43) (PTR)
	AW	VLSI Processing Equipment handbook, Ph. D. Kazuo Maeda (6-90), pp. 158 (PTR)
	AX	Latest trend of process automation (Realize, Inc.) (1986), pp. 202 and 203
	AY	Semicon News, Semicon/East '84, 12-1984, vol. 13., p. 199
	AZ	"Semiconductor World", 1990/9, Published by Press Journal, Inc., pp. 136-7 (FTR)
Examiner Stephen Garin		Date Considered 3-31-01

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	AN 62-50463	3-5-87	Japan			ABS	
	AO 2-106037	4-18-90	Japan			ABS	
	AP 57-29577	2-17-82	Japan			ABS	
	AQ 62-207866	9-12-87	Japan			FTR	
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	AS 63-57734	4-18-88	Japan				X
	AT 63-133521	6-6-88	Japan			FTR/ABS	

## OTHER DOCUMENTS \* (Including Author, Title, Date, Pertinent Pages, Etc.)

SAK	AU	Electronic Parts and Materials, 1989, vol. 28, no. 3, pp. 22-29
	AV	Solid State Technology, 10/90, pp. 35-41
	AW	Solid State Technology, 12/90, pp. 37-47
	AX	Semiconductor World, pp. 106-111, 9/90
	AY	Semiconductor World, pp. 122-125, 9/90
	AZ	Semiconductor World, pp. pp. 110-113, 10/89
Examiner	Stephen Lharini	
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	AN	60-52574	3-25-85	Japan		ABS	
	AO	4-82841	7-20-92	Japan			X
	AP	58-93321	6-3-83	Japan		ABS	
	AQ	3-19252	1-28-91	Japan		ABS	
	AR	2-52449	2-22-90	Japan		PTR/ABS	
	AS	58-95636	6-29-83	Japan			X
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	AV	European Search Report, 1/92, re 91307625.3
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	AX	European Search Report, 3/99, re 981061625
	AY	JP application No. 3-234408, Notification of Reasons for Refusal, 1-28-97 (TR)
	AZ	JP application No. 2646905, Notification Reasons for Revocation 12-15-98 (TR)
Examiner	<i>Stephen Giamini</i>	
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	AV	Decision for Patent Opposition, Opposition No. 10-70995 (10-99) (TR)
	AW	JP application No. 2-225321, Notification of Reasons for Refusal 10-15-96 (TR)
	AX	JP application No. 8-335329, Interview Record (TR)
	AY	JP application No. 8-335329, Notification of Reasons for Refusal 9-30-97 (TR)
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Examiner	<i>Stephen Imani</i>	
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	AV	Official Decision on Opposition to Grant of Patent 1999-71584 1-12-01 (TR)
	AW	JP application No. 9-329873, Notification of Reasons for Refusal 7-14-98 (TR)
	AX	JP application No. 9-329873, Notification of Reasons for Refusal 11-10-98 (TR)
	AY	JP patent No. 2942527, Notice of Reasons for Revocation 6-27-00 (TR)
	AZ	Decision on Opposition to the Grant of a Patent 2000-70844 1-12-01 (TR)
Examiner	Deple Sham	
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	AY	JP application No. 2000-054452, Notification of Reasons for Refusal 9-6-00 (TR)
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Stephen L. Gami		3-31-06

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	AW	EP91307625, Interlocutory decision in Opposition proceeding, 5-16-00
	AX	EP91307625, Minutes of the oral proceedings, 3-9-00
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	AZ	EP97111628, Office action 11-2000
Examiner <i>Stephen Garm</i>		Date Considered 3-31-06

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	AV	EP98106162, Office action 11-2000
	AW	EP98106162, Office action 6-2001
	AX	KR application No. 14984/91, Preliminary Notice of Ground(s) for Rejection (TR)
	AY	KR application No. 184682-00-00 Notice of Decision on Opposition to the Granted Patent 10-4-2000 (TR)
	AZ	KR application No. 184682, Trial Decision 8-1-00 (TR)
Examiner <i>Stephen Lhamin</i>		Date Considered 3-31-06

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	AV	KR application No. 212874, 12-22-00, Notice of Decision on Opposition to the Granted Patent
	AW	KR application No. 46757/98, Preliminary Notice of Ground(s) for Rejection 1-20-99 (TR)
	AX	KR application No. 212819, 12-22-00, Notice of Decision on Opposition to the Granted Patent (TR)
	AY	JP patent No. 3145359, Notice of Reasons for Revocation 12-18-01 (TR)
	AZ	JP patent No. 3145375, Notice of Reasons for Revocation 12-18-01 (TR)
Examiner <i>Stephen Davis</i>		Date Considered 3-31-06

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<i>Stephen M. Shum</i>				8-12-04			

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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
SMY	Sho-61-173445	8/5/86	Japan	1	1	X	

SMK	Decision on Opposition No. 2001.72514 relating to JP 3145359, Patentee Hitachi, Ltd., pages 1-27, November 20, 2002
SMK	Decision on Opposition No. 2001.72518 relating to JP 3145375, Patentee Hitachi, Ltd., pages 1-28, November 20, 2002
QMK	Decision on Opposition No. 2001.72519 relating to JP 3145376, Patentee Hitachi, Ltd., pages 1-23, November 20, 2002
SMK	Decision on Opposition No. 2001.72574 relating to JP 3147230, Patentee Hitachi, Ltd., pages 1-22, November 20, 2002

~~SMITH~~ Stephen Grant

8-12-64

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SMC	AV	Decision of the Tokyo High Court, Case No. 58, on oral proceedings, dated September 17, 2002
SMC	AW	Office Action dated 9-3-2002, in corresponding Japanese Patent Application 2000-040580
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Stephen M. Ham		8-12-04

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AB	4,923,584	5-8-90	Bramhall, Jr. et al.			
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AV	Semicon News, April 1987 (pp. 38-43) (PTR)	
AW	VLSI Processing Equipment handbook, Ph. D. Kazuo Maeda (6-90), pp. 158 (PTR)	
AX	Latest trend of process automation (Realize, Inc.) (1986), pp. 202 and 203	
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AZ	"Semiconductor World", 1990/9, Published by Press Journal, Inc., pp. 136-7 (FTR)	
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						Yes	No
	AM 62-216315	9-22-87	Japan			ABS	
	AN 60-52574	3-25-85	Japan			ABS	
	AO 4-82841	7-20-92	Japan				X
	AP 58-93321	6-3-83	Japan			ABS	
	AQ 3-19252	1-28-91	Japan			ABS	
	AR 2-52449	2-22-90	Japan			PTR/ABS	
	AS 58-95636	6-29-83	Japan				X
	AT 1-316957	12-21-89	Japan			ABS	

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	AY	JP application No. 3-234408, Notification of Reasons for Refusal, 1-28-97 (TR)
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Examiner		Date Considered
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\* PTR (partial translation) - FTR (full translation) - ABS (abstract)



Form PTO-1449

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520.30414R52

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10/066,769

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						Yes	No
	AM	2-178946	7-11-90	Japan		PTR/ABS	
	AN	62-132321	6-15-87	Japan		PTR/ABS	
	AO	63-131123	8-26-88	Japan		PTR	
	AP	87/07309	12-3-87	WO			
	AQ	0381338	8-8-90	Europe			
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	AS	2-65252	3-5-90	Japan		PTR	
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	AM	2-224242	9-6-90	Japan		ABS	
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	AO	58-108641	6-28-83	Japan		ABS	
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	AV	Official Decision on Opposition to Grant of Patent 1999-71584 1-12-01 (TR)
	AW	JP application No. 9-329873, Notification of Reasons for Refusal 7-14-98 (TR)
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	AV	JP patent No. 3147230, Notice of Reasons for Revocation 12-18-01 (TR)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT KATO, et al.	
		FILING DATE April 19, 2000	GROUP 3749

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SM	AA	4,969,790	11/13/90	Petz et al.		10/24/88
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	AD					
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	AH					
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SM	AM	2-61064	03/01/90	Japan			x
SM	AN	1-310553	12/14/89	Japan			x
SM	AO	1-251734	10/06/89	Japan			x
SM	AP	62-44571	02/26/87	Japan			x
SM	AQ	62-50463	03/05/87	Japan			x
SM	AR	2-106037	04/18/90	Japan			x
SM	AS	57-29577	02/17/82	Japan			x
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Examiner	Sepe M. Gammie	
	Date Considered 7-31-00	

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APPLICANT  
KATO, et al.FILING DATE  
April 19, 2000

GROUP 3749

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SMH	3 9 8 1 7 9 1	9-76	Rosvold			
SMH	4 2 2 6 8 9 7	10-80	Coleman			
SMH	4 3 1 1 4 2 7	1-82	Coad, et al.			
SMH	4 3 1 3 8 1 5	2-82	Graves, Jr. et al.			
SMH	4 4 4 9 8 8 5	5-84	Hertel, et al.			
SMH	4 4 5 7 6 6 1	7-84	Flint, et al.			
SMH	4 5 3 4 3 1 4	8-85	Ackley			
SMH	4 5 6 3 2 4 0	1-86	Shibata, et al.			
SMH	4 6 3 4 3 3 1	1-87	Hertel			
SMH	4 7 0 5 9 5 1	11-87	Layman, et al.			

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Abstract/

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
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SMH	6 2 8 9 8 8 1	4-87	Japan		X
SMH	6 2 2 0 7 8 6 6	9-87	Japan		X
SMH	1 3 1 9 7 0	2-89	Japan		X
SMH	1 3 1 9 7 1	2-89	Japan		X
SMH	6 3 6 5 8 2	1-89	Japan		X
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EXAMINER

Stephen M. Harini

DATE CONSIDERED

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09/552 572

APPLICANT  
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SML	4 8 5 1 1 0 1	7-89	Hutchinson			
SML	4 8 3 6 7 3 3	6-89	Hertel, et al.			
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SML	4 9 0 9 6 9 5	3-90	Hurwitt, et al.			
SML	4 9 1 1 5 9 7	3-90	Maydan, et al.			
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SML 2 6 5 2 5 2	3-90	Japan			X
SML 6 4 1 2 0 3 7	1-89	Japan			X

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SMG	4 9 3 6 3 2 9	6-90	Michael, et al.	134	902	
SMG	5 0 1 4 2 1 7	5-91	Savage	364	550	
SMG	5 0 0 7 9 8 1	4-91	Kawasaki, et al.			
SMG	4 1 3 8 3 0 6	2-79	Niwa	156	345	
SMG	4 5 7 6 6 9 8	3-86	Gallagher, et al.	204	192	
SMG	5 0 1 4 2 1 7	5-91	Savage	364	498	
SMG	5 3 5 1 4 1 5	10-94	Brooks, et al.	34	389	
SMG	4 6 4 3 6 2 9	2-87	Takahashi, et al.	414	331	
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SMG	4 3 0 5 4 9	4-92	Japan				
SMG	8 7 0 7 3 0 9	5-87	PCT Int'l Appl.				
SMG	2 9 4 6 4 7	4-90	Japan				
SMG	6 0 2 4 6 6 3 5	12-85	Japan				

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		APPLICANT <b>KATO, et al.</b>	
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SMA			2	6	5	2	5	2	3-90	Japan				

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